

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary.) Page 1 of 8	ATTY DOCKET NO. 05457-00083	SERIAL NO. Not yet assigned
APPLICANT: David Japikse and Daniel O. Baun		
FILING DATE: Herewith		GROUP: Not yet assigned

REFERENCE DESIGNATION									U.S. PATENT DOCUMENTS				
EXAMINERS INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	5	1	4	7	1	7	8	09/15/1992	Treece	415	58.4	
	AB	4	7	8	1	5	3	0	11/01/1988	Lauterbach et al.	415	170	
	AC	4	6	7	3	3	3	1	06/16/1987	Kolb	415	53	
	AD	4	3	7	5	9	3	8	03/08/1983	Dussourd	415	53	
	AE	4	2	4	8	5	6	6	02/03/1981	Chapman et al.	415	26	
	AF	4	2	1	2	5	8	5	07/15/1980	Swarden et al.	415	53	
	AG	4	1	1	6	1	7	1	09/26/1978	Schulmeister et al.	123	41.57	
	AH	4	0	6	3	8	4	8	12/20/1977	Wiggins et al.	415	206	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AJ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)									
	AK								
	AL								
	AM								
	AN								
	AO								

EXAMINER	DATE CONSIDERED
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STATEMENT

APPLICANT: David Japikse and Daniel O. Baun

(Use several sheets if necessary.) Page 2 of 8

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HerewithGROUP:  
Not yet assigned

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINERS INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	BA	3	9	9	3	4	1	4	11/23/1976	Meauze et al.	415	181	
	BB	3	9	0	1	6	2	0	08/26/1975	Boyce	415	1	
	BC	3	8	9	3	7	8	7	07/08/1975	Jones	415	213	
	BD	3	8	8	7	2	9	5	06/03/1975	Yu	415	116	
	BE	3	7	3	0	6	3	9	05/01/1973	Moore et al.	415	119	
	BF	3	4	8	4	0	3	9	12/16/1969	Mittelstaedt	230	122	
	BG	3	4	6	2	0	7	1	08/19/1969	Garve	230	114	
	BH	3	4	2	6	9	6	4	02/11/1969	Silvern	230	114	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES	NO
	BI								
	BJ								

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	BK		
	BL		
	BM		
	BN		
	BO		

EXAMINER

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	CA	3	3	2	5	0	8	9	06/13/1967	Vogler	230	125	
	CB	3	2	4	0	0	1	2	03/15/1966	Price	60	39.23	
	CC	3	2	1	7	6	5	5	11/16/1965	Sercy et al.	103	103	
	CD	3	1	6	3	1	1	9	12/29/1964	Huppert et al.	103	89	
	CE	3	1	2	3	2	8	5	03/03/1964	Lee	230	133	
	CF	3	1	0	6	1	6	5	10/08/1963	Tyler	103	97	
	CG	3	0	7	0	0	2	5	12/25/1962	Cliborn	103	97	
	CH	2	9	6	6	0	2	8	12/27/1960	Johnson et al.	60	35.6	

FOREIGN PATENT DOCUMENTS								
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							YES	NO
	CI							
	CJ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)													
	CK												
	CL												
	CM												
	CN												
	CO												

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	DA	2	8	7	4	6	4	2	02/24/1959	Forrest	103	97	
	DB	2	8	6	5	2	9	7	12/23/1958	Cliborn et al.	103	103	
	DC	2	8	3	7	2	7	0	06/03/1958	Chapman	230	115	
	DD	2	7	8	6	4	2	0	03/26/1957	Kenney	103	97	
	DE	2	7	0	9	9	1	7	06/07/1955	Bruynes	73	147	
	DF	2	7	0	4	5	1	6	03/22/1955	Mock et al.	103	88	
	DG	2	6	9	8	7	1	1	01/04/1955	Newcomb	230	114	
	DH	2	6	5	8	3	3	8	11/10/1953	Leduc	60	39.36	

FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	DI								
	DJ								

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)									
	DK								
	DL								
	DM								
	DN								
	DO								

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	EA	2	6	5	6	0	9	6	10/20/1953	Schwartz	230	116	
	EB	2	4	0	5	2	8	2	08/06/1946	Birmann	230	47	
	EC	2	3	4	2	2	1	9	02/22/1944	Price	230	114	
	ED	1	1	1	1	4	9	8	09/22/1914	Rotter			
	EE												
	EF												
	EG												
	EH												

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	EI						
	EJ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	EK	
	EL	
	EM	
	EN	
	EO	

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REFERENCE DESIGNATION									U.S. PATENT DOCUMENTS				
EXAMINERS INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	FA	5	5	4	5	0	1	4	Aug. 13, 1996	Sundberg et al.	417	204	
	FB	5	2	8	6	1	6	2	Feb. 15, 1994	Veres	415	115	
	FC	4	8	3	4	6	1	1	May 30, 1989	Meng	415	58.5	
	FD	4	8	1	5	9	3	5	Mar. 28, 1989	Gottmoller	415	211	
	FE	4	7	2	0	2	4	2	Jan. 19, 1988	Lovisetto	416	186	
	FF	4	7	0	8	5	8	4	Nov. 24, 1987	Meng	415	53	
	FG	4	6	7	8	4	0	5	Jul. 7, 1987	Allen	417	245	
	FH	4	6	4	2	0	2	3	Feb. 10, 1987	Dunn	415	53	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	FI	EP0399343B1	Apr. 27, 1994	Europe				
	FJ	JP09032722A	Feb. 4, 1997	Japan				

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
FK		"CONTROL OF BACKFLOW AT THE INLETS OF CENTRIFUGAL PUMPS AND INDUCERS," by Donald P. Sloteman, Paul Cooper and Jules L. Dussoird, <i>PROCEEDINGS OF THE FIRST INTERNATIONAL PUMP SYMPOSIUM</i> , Texas A&M University College Station, Texas, May 1984, pp. 9-22.
FL		"MODEL 250-C30/C28B COMPRESSOR DEVELOPMENT," by Dennis C. Chapman, General Motors Corporation (no date).
FM		
FN		
FO		

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	GA	5	1	8	6	6	0	1	Feb. 16, 1993	Treece et al.	415	58.4	
	GB	5	1	4	7	1	7	8	Sep. 15, 1992	Treece	415	58.4	
	GC	4	9	9	0	0	5	3	Feb. 5, 1991	Rohne	415	58.4	
	GD	4	9	8	1	0	1	8	Jan. 1, 1991	Jones et al.	60	726	
	GE	4	9	3	0	9	7	8	June 5, 1990	Khanna et al.	415	58.3	
	GF	4	8	7	1	2	9	4	Oct. 3, 1989	Ivanov et al.	415	58.7	
	GG												
	GH												

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	GI						YES	NO
	GJ							

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	GK	
	GL	
	GM	
	GN	
	GO	

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	HA	4	7	4	3	1	6	1	May 10, 1988	Fisher et al.	415	53	
	HB	4	3	7	5	9	3	7	Mar. 8, 1983	Cooper	415	53	
	HC	4	2	3	9	4	5	3	Dec. 16, 1980	Hergt et al.	415	182	
	HD	4	1	4	2	8	3	9	Mar. 6, 1979	Davis et al.	417	89	
	HE	4	0	9	7	1	8	6	Jun. 27, 1978	Budris	415	143	
	HF	3	7	4	1	6	7	7	June 26, 1973	Silvern et al.	415	52	
	HG	3	5	0	4	9	8	6	April 7, 1970	Jackson	415	11	
	HH	3	0	9	0	3	2	1	May 21, 1963	Edwards	103	113	
	HI	2	3	9	8	6	1	9	1946	Clark	415	27	
	HJ	2	8	3	2	2	9	2	April 29, 1958	Edwards	103	87	
	HK												

U.S. PATENT APPLICATION PUBLICATIONS							
EXAMINERS INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	HL	US20020192073A1	12/19/2002	Japikse			6/17/2002
	HM						
	HN						
	HO						
	HP						
	HQ						

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